

Appendix: Comparison of IMB Operating Rules and ASIC Market Integrity Rules (IMB Market) 2010

Table 1: Composition of ASIC Market Integrity Rules (IMB Market) 2010 (ASIC/IMB MIR)

ASIC/IMB MIR	IMB Operating Rule	Explanation of any differences
Chapter 1: Introduction	N/A	<i>[Chapter heading]</i>
Part 1.1 Preliminary	N/A	<i>[Part heading]</i>
1.1.1 Enabling legislation	N/A	New MIR
1.1.2 Title	N/A	New MIR
1.1.3 Commencement	N/A	New MIR
1.1.4 Scope of these Rules	N/A	New MIR
1.1.5 Entities that must comply with these Rules	N/A	New MIR
1.1.6 Conduct by officers, employees or agents	N/A	New MIR
1.1.7 State of mind of a person	N/A	New MIR
Part 1.2 Waiver of the Rules	N/A	<i>[Part heading]</i>
1.2.1 Waiver of Rules and procedures	N/A	New MIR
1.2.2 Compliance with conditions	N/A	New MIR
1.2.3 Period during which relief applies	N/A	New MIR
1.2.4 Register	N/A	New MIR

ASIC/IMB MIR	IMB Operating Rule	Explanation of any differences
Part 1.3 Definitions	N/A	<i>[Part heading]</i>
1.3.1 Definitions	Definitions	ASIC has adopted a number of definitions from the IMB Operating Rules, with drafting amendments.
Chapter 2: Market Participants	N/A	<i>[Chapter heading]</i>
Part 2.1 Conduct of Market Participants	N/A	<i>[Part heading]</i>
2.1.1 Conduct of Market Participants	6 CONDUCT OF PARTICIPANTS	ASIC has only adopted IMB Operating Rule 6.1 as an MIR, with drafting amendments.
Part 2.2 Market Participant Obligations	N/A	<i>[Part heading]</i>
2.2.1 Market Participant Obligations	9 EXPULSION, SUSPENSION OR DISCIPLINE OF A PARTICIPANT FOR INCONSISTENT CONDUCT IN THE TRANSACTION OF SHARE TRADING	Drafting amendments only.

Table 2: Tracing IMB Operating Rules to ASIC Market Integrity Rules (IMB Market) 2010

IMB Operating Rule	ASIC/IMB MIR
2 DEFINITIONS AND INTERPRETATION	
2.1 Definitions	1.3.1 Definitions
6 CONDUCT OF PARTICIPANTS	2.1.1 Conduct of Market Participants
9 EXPULSION, SUSPENSION OR DISCIPLINE OF A PARTICIPANT FOR INCONSISTENT CONDUCT IN THE TRANSACTION OF SHARE TRADING	2.2.1 Market Participant Obligations