



ASIC

Australian Securities & Investments Commission

[CO 02/261]

Electronic disclosure documents, electronic application forms and dealer personalised applications — amendment

Issued 3/3/2002

Class Order [CO 02/261] varies [CO 00/44].

This class order comes into effect on 11 March 2002 upon commencement of Schedule 1 to the Financial Services Reform Act 2001: see paragraph 4(2A)(c) of the Acts Interpretation Act 1901.

Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 741(1) — Variation

Under subsection 741(1) of the *Corporations Act 2001* and with effect from the commencement of Schedule 1 to the *Financial Services Reform Act 2001*, the Australian Securities and Investments Commission hereby varies Class Order [00/44] by:

- 1 omitting from the heading the word “Law” and substituting the words “Act 2001”;
- 2 omitting from the first paragraph, the words “Corporations Law (the “Law”)” and substituting the words “*Corporations Act 2001* (the “Act”);
- 3 omitting from paragraph 1 under the heading “Provisions affected”, the words “Corporations Law” and substituting the word “Act”;
- 4 omitting subparagraph 2(d) under the heading “Provisions affected” and substituting the following subparagraph:
 - “(d) an electronic application form issued and partly completed by a financial services licensee (“licensee form”) where the

issuer had reasonable grounds to believe that the licensee form was distributed with an electronic disclosure document.”;

- 5 omitting the definition of “securities dealer” under the heading “Definitions” and substituting the following definition:

““financial services licensee” includes, during the transition period (within the meaning of subsection 1431(1) of the Act) for a regulated principal, a reference to a regulated principal described in item 1 of the table set out in section 1430 of the Act.”;
- 6 omitting from paragraph 2 under the heading “Conditions” the words “securities dealer” (twice occurring) and substituting the words “financial services licensee”;
- 7 omitting from paragraph 6 under the heading “Conditions”:
 - (a) the words “within Australia” and substituting the words “in this jurisdiction”; and
 - (b) the word “countries” and substituting the word “places”;
- 8 omitting from subparagraph 7(c) under the heading “Conditions” the words “securities dealer” and substituting the words “financial services licensee”;
- 9 omitting from paragraph 12 under the heading “Conditions” the words “securities dealer” and substituting the words “financial services licensee”;
- 10 omitting from paragraph 13 under the heading “Conditions” the words “securities dealer” (twice occurring) and substituting the words “financial services licensee”; and
- 11 inserting immediately before the date of the instrument the following heading and note:

“This jurisdiction

Note 4: In this instrument, “this jurisdiction” means Australia: Act, ss 5 and 9 (definition of “this jurisdiction”).”.

Dated this 3rd day of March 2002

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission