



ASIC

Australian Securities &
Investments Commission

22-Sep-10

Tania Hughes
The Treasury
Parkes Place
PARKES ACT 2600

Dear Tania,

Please find enclosed four hard copies of indexed lists of departmental files as requested for senate order for the period 1st January to 30th June 2010.

I confirm that the contact officer for the Australian Securities & Investments Commission is Michael Carter (02) 9911 2469, GPO Box 9827 SYDNEY NSW 2001.

Yours sincerely

Michael Carter
National Coordinator, Records Management
Australian Securities & Investments Commission



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Investments Commission

SENATE LIST ORDER NO 6

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed File Lists Created between 1st January and 30th December 2010

Dates: 1ST January – 30th June 2010

Contact: Michael Carter (02) 9911-2469
Michael.Carter@asic.gov.au

Website Details: Use link below
<http://www.asic.gov.au>

File Number	Title
PMR 2010/000063	PROJECT - FSWG: SHORTER PRODUCT DISCLOSURE STATEMENTS (MIS)
PMR 2010/000452	NEW MEDIA AND INVESTIGATIONS
PMR 2010/000481	SUPERANNUATION: FINANCIAL SERVICES WORKING GROUP - SHORTER DISCLOSURE: SUPERANNUATION - PART 1
PMR 2010/000482	SUPERANNUATION: FINANCIAL SERVICES WORKING GROUP - SHORTER DISCLOSURE: SUPERANNUATION - PART 2
PMR 2010/000730	SUPER RISK DISCLOSURE - EXTERNALS
PMR 2010/001974	TOP 200 SUPER FUNDS - APRA STATS 2010
PMR 2010/002051	DAY TRADING SYSTEMS
PMR 2010/002064	DEVELOPMENT OF PRODUCT PROFILES
PMR 2010/002151	OECD FINANCIAL CONSUMER PROTECTION SURVEY
PMR 2010/003038	MORTGAGE EXIT FEES REGULATORY GUIDE DEVELOPMENT
PMR 2010/003279	LLOYD'S OF LONDON - QUARTERLY REPORT FOR THE PERIOD OCTOBER TO DECEMBER 2009 CLASS ORDER 99/0929
PMR 2010/004082	EXTERNAL DISPUTE RESOLUTION - TERMS OF REFERENCE
PMR 2010/005163	MARGIN LENDING - RESPONSIBLE LENDING
PMR 2010/005266	UNCONSCIONABLE CONDUCT INQUIRY 2009
PMR 2010/005525	EXAMINATION OF JUNE 2009 FINANCIAL REPORT - ACQUISITION ACCOUNTING
PMR 2010/009841	STATE AND TERRITORY INFORMATION TRANSFER TO ASIC
PMR 2010/013677	REGULATION OF COVERED BONDS
PMR 2010/013722	ANNUAL REPORT 2009-10: COLLECTION OF STATISTICS
PMR 2010/016050	PROJECT: REGULATORY GUIDE 144 MORTGAGE INVESTMENT SCHEMES
PMR 2010/017384	ASIC MARKET INTEGRITY RULES
PMR 2010/017505	AUSTRALIAN FINANCIAL MARKETS ASSOCIATION