



ASIC

Australian Securities & Investments Commission

SENATE LIST ORDER NO 6

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed File Lists Created between 1st July and 31st December 2005

Contact: Donald Stewart - (02) 9911 2469 – donald.stewart@asic.gov.au

Website Details: Use link below

<http://www.asic.gov.au/asic/asic.nsf/byid/CA256AE90002F4BFCA256AFB007AB8C1?opendocument>

Group	Year	Prefix	File	Description
RPOL	2005	PMR	16328	COMPLEX PRODUCTS
RPOL	2005	PMR	16984	COMPLEX PRODUCTS
RPOL	2005	PMR	17274	UNSOLICITED OFFICERS
RPOL	2005	PMR	18093	CREDIT RATING SERVICES AND FINANCIAL SERVICES LICENSING
RPOL	2005	PMR	18094	ASIC SUPER SWITCHING ADVICE GUIDE
RPOL	2005	PMR	18115	FSR REFINEMENTS PART 2
RPOL	2005	PMR	18516	LAW REFORM- GENERAL
RPOL	2005	PMR	18747	FS LICENSING REVIEW
RPOL	2005	PMR	18929	RELIEF- S601GA:MANAGED INVESTMENT SCHEME CONSTITUTIONS PART 2
RPOL	2005	PMR	18932	1012IA PROJECT PART 5
RPOL	2005	PMR	19079	PS 146: REVIEW OF BDP POLICY (FSR REFINEMENTS POLICY)
RPOL	2005	PMR	19264	TECHNICAL REVIEW OF IDPS POLICY AND CLASS ORDERS
RPOL	2005	PMR	20607	REVIEW OF PRACTICE NOTE 55:CONSENT TO QUOTE
RPOL	2005	PMR	20608	DEBENTURE TRUSTEES POLICY
RPOL	2005	PMR	20937	INSOLVENCY PRACTITIONER REGULATION PROJECT VOLUME 7
RPOL	2005	PMR	22681	5601 GA RELIEF
RPOL	2005	PMR	22851	CORPORATE GOVERNANCE (GENERAL) PART 2
RPOL	2005	PMR	22857	POLICY STATEMENT: INDIRECT SELF ACQUISITION BY INVESTMENT FUNDS
RPOL	2005	PMR	23100	AUDITOR INDEPENDENCE: S307C DECLARATION - CLASS ORDER RELIEF
RPOL	2005	PMR	23339	BENEFICIAL TRACING NOTICES
RPOL	2005	PMR	23520	DIV 5A PART 7.9 PROJECT
RPOL	2005	PMR	23524	CONFLICTS OF INTEREST - REGULATION PROJECT
RPOL	2005	PMR	23807	FSRA REFINEMENTS PART 4
RPOL	2005	PMR	23848	FSRA IMPLEMENTATION: QUERIES PART 7
RPOL	2005	PMR	23902	ASIC GUIDE TO PS166 & PF209 FINANCIAL REQUIREMENTS: POLICY INPUT
RPOL	2005	PMR	23925	UPDATE OF POLICY STATEMENT 166: FINANCIAL REQUIREMENTS PART 4
RPOL	2005	PMR	23926	UPDATE OF POLICY STATEMENT 166: FINANCIAL REQUIREMENTS PART 5
RPOL	2005	PMR	24356	SUPERANNUATION FEES MONITORING
RPOL	2005	PMR	24396	FOREIGN FINANCIAL SERVICE PROVIDERS
RPOL	2005	PMR	24523	5601 GA RELIEF PART 2
RPOL	2005	PMR	24831	NON-CASH PAYMENT FACILITIES POLICY STATEMENT PART 2
RPOL	2005	PMR	25198	CLERP 9 AMENDMENT BILL 2005
RPOL	2005	PMR	25246	UNSOLICITED OFFERS DIV 5A OF PART 7.9 S1019D

RPOL	2005	PMR	25719	PRODUCT RATIONALISATION	
RPOL	2005	PMR	26729	FPA - PRINCIPLES FOR MANAGING CONFLICTS OF INTEREST	
RPOL	2005	PMR	26783	REVIEW OF POLICY STATEMENT 170 PROSPECTIVE FINANCIAL INFORMATION S 728(2)	S 769C
RPOL	2005	PMR	27254	ANTI-HAWKING	
RPOL	2005	PMR	27642	ASIC MODEL STATEMENT OF ADVICE (SOA) PROJECT	
RPOL	2005	PMR	27791	RELATED PARTY UNDERWRITING AMENDMENTS TO CO 98/52 PART 3	
RPOL	2005	PMR	28064	RESPONSIBLE OFFICER FORUM PRESENTATIONS	
RPOL	2005	PMR	28119	ASIC MODEL STATEMENT OF ADVICE (SOA) PROJECT	
RPOL	2005	PMR	28300	ASIC MODEL STATEMENT OF ADVICE (SOA) PROJECT	
RPOL	2005	PMR	28393	ASIC MODEL STATEMENT OF ADVICE (SOA) PROJECT	
RPOL	2005	PMR	28500	CREDIT RATING AGENCIES	
RPOL	2005	PMR	28658	FSR REFINEMENTS	
RPOL	2005	PMR	28660	FSR REFINEMENTS	
RPOL	2005	PMR	28714	HORSE BREEDING AND HORSE RACING SYNDICATES	
RPOL	2005	PMR	28868	SUPERANNUATION - 'FROM' FUND ENQUIRY TEMPLATE	
RPOL	2005	PMR	29021	MANAGED DISCRETIONARY ACCOUNTS SERVICES	
RPOL	2005	PMR	29022	MANAGED DISCRETIONARY ACCOUNTS SERVICES	
RPOL	2005	PMR	29147	CALCULATORS	
RPOL	2005	PMR	29155	SELF MANAGED SUPER FUNDS FAQ - PDS REQUIREMENTS	
RPOL	2005	PMR	29167	PRODUCT RATIONALISATION	
RPOL	2005	PMR	29191	SUPERANNUATION: DELIVERY OF PRODUCT DISCLOSURE FOR INVESTMENT STRATEGIES	
RPOL	2005	PMR	29287	SUPERANNUATION: DELIVERY OF PRODUCT DISCLOSURE FOR INVESTMENT STRATEGIES	
RPOL	2005	PMR	29536	NON CASH PAYMENTS - POLICY PROPOSAL PAPER	
RPOL	2005	PMR	29591	NON CASH PAYMENTS - POLICY PROPOSAL PAPER	
RPOL	2005	PMR	29593	NON CASH PAYMENTS - POLICY PROPOSAL PAPER	
RPOL	2005	PMR	29851	SUPER CONSOLIDATION ADVICE	
RPOL	2005	PMR	29852	ELECTRONIC DOCUMENT DELIVERY	
RPOL	2005	PMR	30012	FINANCIAL ADVICE TO ONGOING CLIENTS	
RPOL	2005	PMR	30065	AUDITOR ROTATION - POLICY DEVELOPMENT	
RPOL	2005	PMR	30975	AUDITOR ROTATION POLICY	
RPOL	2005	PMR	31217	DOLLAR DISCLOSURE PROJECT	
RPOL	2005	PMR	31390	(PS178)FOREIGN COLLECTIVE INVESTMENTS SCHEMES: RELIEF FOR REGISTERED SCHEMES INVESTING IN REITS	
RPOL	2005	PMR	31951	SUPERANNUATION FEES MONITORING	
RPOL	2005	PMR	32039	PS 166 REVIEW 2005 - 2006: REGULATORY POLICY	

RPOL	2005	PMR	32578	HAWKING IR VOLUME 1
RPOL	2005	PMR	32581	HAWKING IR VOLUME 2
RPOL	2005	PMR	32582	HAWKING IR/GUIDE VOLUME 3
RPOL	2005	PMR	32584	APPROVED FORMS (SECTION 350)
RPOL	2005	PMR	32983	FSRA REFINEMENTS PART 5