



ASIC

Australian Securities &
Investments Commission

27 January 2016

Marian Dunski
The Treasury
Langton Crescent
PARKES ACT 2600

Senate Order No. 12

Dear Marian,

Please find enclosed the list of files produced by ASIC, (exceptions edited / omitted), for the reporting period 1 July 2015 to 31 December 2015.

I confirm that the contact officer for the Australian Securities & Investments Commission is Lennart Jacobsen (03) 9280 3292, GPO Box 9827, Melbourne 3001.

Yours sincerely,

Lennart Jacobsen
Team Leader, Enterprise Content Management Business Solutions
Australian Securities & Investments Commission



ASIC

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Investments Commission

SENATE ORDER NO. 12

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed agency file lists created between 1 July 2015 and 31 December 2015

Agency Contact:

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Website:

<http://www.asic.gov.au>

VIRTUAL FILES

File Name	File Number	Parent
2015 - ASIC Corporate Plan 2015-16	2015 - 006544	2015
2015 - ASIC Corporate Plan FY 2017 - Environmental Scan	2015 - 008165	2015
2015 - Financial Resilience Advisory Committee	2015 - 007144	2015
2015 - IOSCO - Risk Data Survey on Asset Management - Money Market Funds	2015 - 007254	2015
2015 - IOSCO - Risk Outlook 2015-16	2015 - 006057	2015
2015 - IOSCO CER Meeting Rio 20150901-02	2015 - 007344	2015
2015 - IOSCO CER Workstream Surveys	2015 - 008279	2015
Data Requests Finance	2015 - 005314	ASIC Registry Scoping Study - 2014 - Operations Input
Data Requests IT	2015 - 005313	ASIC Registry Scoping Study - 2014 - Operations Input
Administration	2015 - 005317	ASIC Registry Separation- 2015 - Operations Input
Correspondence	2015 - 005580	ASIC Registry Separation- 2015 - Operations Input
EOI Verification - August 2015	2015 - 007512	ASIC Registry Separation- 2015 -

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File Name	File Number	Parent
		Operations Input
Indicative Bid Phase - ASIC Management Sign Offs - December 2015	2015 - 011312	ASIC Registry Separation- 2015 - Operations Input
Meeting/Workshop Documentation - Finance	2015 - 005318	ASIC Registry Separation- 2015 - Operations Input
Meeting/Workshop Documentation - IT	2015 - 005319	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (1) Greenhill Logs & Progress Reports	2015 - 005555	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (2) Finance	2015 - 005315	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (3) IT	2015 - 005316	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (4) Corporate Services	2015 - 005878	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (5) Specialist Services	2015 - 006259	ASIC Registry Separation- 2015 - Operations Input
Requests for Information (6) Risk & Security Services (& CDDA Claims)	2015 - 006310	ASIC Registry Separation- 2015 - Operations Input

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File Name	File Number	Parent
Risks & Issues	2015 - 006129	ASIC Registry Separation- 2015 - Operations Input
Workpackages Information	2015 - 009212	ASIC Registry Separation- 2015 - Operations Input
ASIC Strategic Outlook	2015 - 006411	External
Insolvency - Questions on Notice	2015 - 005294	Insolvency Practitioners (IP)
Briefing to Incoming Assistant Treasurer	2015 - 008766	Internal Editing
Annual Report 2014-15	2015 - 007339	Other Editing
IOSCO Report	2015 - 005798	Other Editing
Registry separation project- Privacy Impact Assessment	2015 - 010060	Privacy
Final submissions	2015 - 007342	Productivity Commission : Business Set-up, Transfer and Closure
ACFE 2015	2015 - 010598	Speeches
Australian British Chamber of Commerce	2015 - 007720	Speeches
Australian Chamber of Commerce and Industry 2015	2015 - 010468	Speeches
BFSLA 2015	2015 - 007666	Speeches

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Boao Forum for Asia 20150730	2015 - 006158	Speeches
CFA Australian Investment Conference	2015 - 009394	Speeches
COSBOA Conference - July 2015	2015 - 005581	Speeches
Customer Owned Banking Convention 2015	2015 - 008360	Speeches
Deloitte	2015 - 008368	Speeches
Distinguished Speaker Series 20150916	2015 - 008107	Speeches
FINSIA Regulators Panel 201511	2015 - 010365	Speeches
Fintech Startups Meetup 201509	2015 - 008173	Speeches
ICI Global 2015	2015 - 010600	Speeches
Law Council of Australia	2015 - 011045	Speeches
Paddington Society 2015	2015 - 006647	Speeches
Women in Banking and Finance 2015	2015 - 010757	Speeches
Reporting requirements under s989B	2015 - 005862	Strategy
Credit Card Interest Rates	2015 - 006305	Submissions & Government Relations

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Industry Funding Model for ASIC	2015 - 009167	Submissions & Government Relations
Review of Small Amount Credit Contract Laws	2015 - 010031	Submissions & Government Relations
Senate Economics Committee Inquiry into Foreign Bribery	2015 - 006422	Submissions & Government Relations